

STATE PROJECT MANAGEMENT UNIT (SPMU)

REQUEST FOR EXPRESSIONS OF INTEREST (Financial Audit SERVICES – FIRMS SELECTION)

Country: India

Name of the Project: Rural Water Supply and Sanitation Project-LIS

Credit No.: 5345-IN

Assignment Title: “Expression of Interest for the Hiring of Chartered Accountants firm for (1) **Audit of annual financial statements of SPMU and it’s DPMUs for the Financial Year 2014-15 and (2) Internal Audit (Quarterly) of SPMU and it’s DPMUs for Financial Year 2015-16.**

The Government of India has received financing from the World Bank toward the cost of the Rural Water Supply and Sanitation Project-LIS and intends to apply part of the proceeds for consulting services. The Project is being implemented in Allahabad, Gorakhpur, Deoria, Kushinagar, Sonbhadra, Ballia, Ghazipur, Bahraich, Gonda and Basti Districts of eastern Uttar Pradesh through Districts Project Management Units (DPMUs).

The Financial Audit services (“the Services”) include hiring of services of Chartered Accountants Firm empanelled with Comptroller and Auditor General of India, New Delhi for **Major Audits**. The services are required for audit of annual Financial Statements prepared at the SPMU Lucknow and it’s DPMUs level in Project Districts for the year 2014-15 and Internal Audit (Quarterly) for 2015-16 related to the project expenditure.

The State Project Management Unit (SPMU) now invites eligible Chartered Accountants firms (“Consultants”) to indicate their interest in providing the Services. Interested Consultants should provide information demonstrating that they have the required qualifications and relevant experience to perform the Services. The detailed eligibility criteria of the CA firm is given below:

Chartered Accountants Firm’s eligibility Criteria:

1. Chartered Accountants (CA) partnership Firm should have registered office in the state of Uttar Pradesh continuously for the past 10 years.
2. C A firm should have been empanelled with Comptroller and Auditor General of India (C&AG) continuously after 01.01.2010 for Major Audits of Public Sector Undertakings (PSUs), Banks and World Bank funded projects.
3. The firm should have at least 5 full time Chartered Accountants as partners (03 FCAs and 02 ACAs) in the firm in the panel prepared by C&AG continuously after 01.01.2012.
4. The annual turnover of the firm should be at least 50.00 lakhs in last three years (as per audited accounts of the firm) from financial years 2011-12 to 2013-14.

The Terms of Reference (TOR) for both the assignments can be seen at our website: www.swsmpu.org and www.uprwssp.org.

The attention of interested Consultants is drawn to paragraph 1.9 of the World Bank’s *Guidelines: Selection and Employment of Consultants [under IBRD Loans and IDA Credits & Grants] by World Bank Borrowers* January 2011 (“Consultant Guidelines”), setting forth the World Bank’s policy on conflict of interest.

Further information can be obtained at the address given below during office hours [10.00 to 17.00 hours] on any working day.

Separate “Expressions of interest” must be delivered in person or by Speed/Registered Post in a written form in sealed envelope clearly superscripting as “**Expression of Interest for Financial Audit of accounts of SPMU and it’s DPMUs for F.Y. 2014-15**” and for “**Internal Audit (Quarterly) for the Financial Year 2015-16**” in one original and three copies to the address below latest by **3.00 PM on 8th June,2015.**

Project Director,
State Project Management Unit (SPMU)
(State Water and Sanitation Mission),

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The Executive Director reserves the right to reject all or any of the proposals without assigning any reason thereof.

Project Director